



2185

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert Miller et al. : Date: November 26, 2001  
Group Art Unit: 2185 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/920,470 : Dept. 917, Bldg. 006-1  
Filed: August 1, 2001 : 3605 Highway 52 North  
Title: FORWARDING OF DIAGNOSTIC : Rochester, MN 55901  
MESSAGES IN A GROUP

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97  
**RECEIVED**

Assistant Commissioner for Patents  
Washington, D.C. 20231

FEB 06 2002

Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

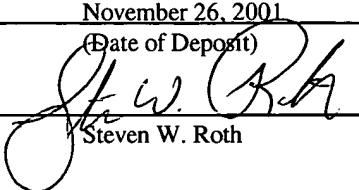
Serial No. 09/920,470  
Docket No. ROC920000311US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

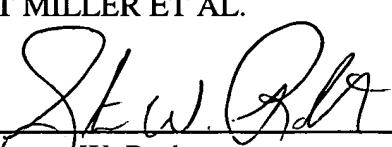
November 26, 2001

(Date of Deposit)

  
Steven W. Roth

Respectfully submitted,

ROBERT MILLER ET AL.

By 

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS  
INFORMATION  
DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920000311US1SERIAL NO.  
09/920,470APPLICANT:  
Robert Miller et al.FILING DATE:  
August 1, 2001GROUP:  
2185

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
						YES
AL						
AM						
AN						
AO						
AP						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	U.S. Patent Application, Serial No. 09/809,408, entitled "Automated Node Restart in Clustered Computer System," filed on	
	March 15, 2001 by Dervin et al. (ROC920000313US1)	
AS	U.S. Patent Application, Serial No. 09/827,804, entitled "Node Shutdown in Clustered Computer System," filed on	
	April 6, 2000 by Block et al. (ROC920000312US1)	
AT		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.